

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Steven M. Bloom, Michael S. Spector and John L. Jacobs	Art Unit :	3693
Serial No. :	10/001,900	Examiner :	Sara M. Chandler
Filed :	November 14, 2001	Conf. No. :	8995
Title :	BALANCING ARBITRAGABLE TRACKING SECURITIES		

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NOTICE OF APPEAL

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the action dated July 22, 2008, finally rejecting claims 1, 2, 4-8, 10-13, 15-19 and 21-26.

A petition for an extension of time under 37 CFR §1.136 to extend the time to respond to the final rejection for 1 month(s) to and including November 22, 2008 is enclosed.

Please charge the required fee of \$540 to Deposit Account No. 06-1050. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: November 21, 2008

/Denis G. Maloney/
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CERTIFICATE OF MAILING BY EFS-WEB FILING

I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: November 21, 2008